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# Light neutrino mass scale in spectrum of Dirac equation with the 5-form flux term on the $AdS(5) \times S(5)$ background

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**ABSTRACT:** Dimensional reduction from 10 to 5 dimensions of the IIB supergravity Dirac equation written down on the  $AdS(5) \times S(5)$  (+ self-dual 5-form) background provides the unambiguous values of bulk masses of Fermions in the effective 5D Randall and Sundrum theory. The use of “untwisted” and “twisted” (hep-ph/0012378) boundary conditions at the UV and IR ends of the warped space-time results in two towers of spectrum of Dirac equation: the ordinary one which is linear in spectral number and the “twisted” one exponentially decreasing with growth of the 5-sphere spectral number. Taking into account the Fermion-5-form interaction (hep-th/9811106) gives the electron neutrino mass scale in the “twisted” spectrum of Dirac equation without any reference to seesaw mechanism and heavy right neutrino. Profiles in extra space of the eigenfunctions of left and right “neutrinos” drastically differ which results in the extremely small coupling of light right chiral spinor with ordinary matter.

**KEYWORDS:** Flux compactifications, Neutrino Physics, Field Theories in Higher Dimensions

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**1 Presentation of Dirac equation including the Pauli-type term**

To receive the observed spectra of Fermions from the higher-dimensional theories is a long-standing problem. Introduction of Higgs scalar is a conventional Standard Model approach to generate masses of Fermi fields. However mass-like terms in Dirac equations in higher-dimensional theories may appear also because of interaction of Fermion with gauge fields (see e.g. Review [1]) or with  $n$ -form fields ([2] and references therein). Thus the interesting task is to study the influence of the extra-dimensional Pauli type terms in the bulk Fermi field Lagrangian on the properties of mass spectra of Fermi excitations on different supergravity backgrounds.

In the present paper we explore spectrum of D10 Dirac equation with the flux-generated bulk “mass term” in the Type IIB supergravity [3]

$$\left( \Gamma^M D_M - \frac{i}{2 \cdot 5!} \Gamma^{M_1 \dots M_5} F_{M_1 \dots M_5} \right) \hat{\lambda} = 0, \tag{1.1}$$

on the  $AdS_5 \times S^5$  (+ self-dual 5-form) background:

$$ds_{10}^2 = e^{-2z/L} \eta_{\mu\nu} dx^\mu dx^\nu + dz^2 + L^2 d\Omega_5^2, \tag{1.2}$$

$$F_{0123z} = e^{-4z/L} \bar{Q}/L, \quad F_{56789} = L^4 \bar{Q}, \quad \bar{Q} = 1. \tag{1.3}$$

The value of the 5-form charge  $\bar{Q} = 1$  follows from the Einstein equations in 10 dimensions for the choice of normalization of the 5-form taken in the Type IIB supergravity action in [3]:

$$S = \frac{1}{2k^2} \int d^{10}x \sqrt{-g} \left( R - \frac{4}{5!} F_{M_1 \dots M_5} F^{M_1 \dots M_5} + \dots \right), \tag{1.4}$$

$k$  is gravity constant in 10 dimensions:  $k = l_s^4$ ,  $l_s$  is fundamental string length. We follow here the notations of [3]:  $M, N = 0, 1 \dots 9$ ,  $x^M = (x^a, y^\alpha)$ ,  $x^a = (x^\mu, z)$  ( $\mu = 0, 1, 2, 3$ ) and  $y^\alpha$  are five angles of  $S^5$  ( $\alpha = 5, 6, 7, 8, 9$ ); hatted symbols,  $\hat{M}$  etc., below are the

corresponding flat indices.  $\eta_{\mu\nu}$  in (1.2) is metric of Minkowski space-time with signature  $(-, +, +, +)$ .

D10 space-time is as ordinary orbifolded at the UV and IR boundaries given by the corresponding values of proper coordinate  $z$ :

$$z_{UV} = 0 < z < \pi R = z_{IR}, \tag{1.5}$$

$AdS_5 \times S^5$  space-time consists of two pasted copies with  $Z_2$  symmetry imposed at its UV and IR ends. In the paper only bulk equations are explored, it is supposed that there are no additional surface terms of the Action which may influence the dynamics of Fermions.

The low-energy effective action (1.4) makes sense if scale of curvature of space-time (1.2) is essentially below the fundamental scale, i.e. if  $L \gg l_s$ . Standard dimensional reduction of Einstein term in (1.4) with use of background metric (1.2) gives the following expression for Planck Mass in 4 dimensions through length parameters  $L, l_s$  (cf. e.g. [4]):

$$M_{Pl} = \sqrt{\frac{\pi^3}{2}} \cdot \left(\frac{L}{l_s}\right)^4 \frac{1}{L}, \tag{1.6}$$

here the exponentially small contribution from  $z_{IR}$  limit of integration over  $z$  in (1.4) is omitted and value of volume of unit 5-sphere  $\Omega_5 = \pi^3$  is used.

$\hat{\lambda}$  in (1.1) is a 32-component spinor,  $D_M = i\partial_M + (1/4)\omega_M^{\hat{A}\hat{B}}\Gamma_{\hat{A}}\Gamma_{\hat{B}}$  is derivative including spin-connection, and the often used [3, 5] representation for  $32 \otimes 32$  gamma-matrices  $\Gamma^{\hat{M}}$  is supposed:

$$\begin{aligned} \Gamma^{\hat{a}} &= \gamma^a \otimes \sigma^1 \otimes I_4, & \gamma^a &= (\gamma^\mu, \gamma_5); \\ \Gamma^{\hat{\alpha}} &= -I_4 \otimes \sigma^2 \otimes \tau^\alpha, & \tau^\alpha &= (\tau^i, \tau_5), \end{aligned} \tag{1.7}$$

here  $\gamma^\mu, \tau^i$  ( $i = 1, 2, 3, 4$ ) are ordinary gamma-matrices in flat 4D Minkowski and Euclidian spaces correspondingly;  $\gamma_5 = -i\gamma^0\gamma^1\gamma^2\gamma^3, \tau_5 = \tau^1\tau^2\tau^3\tau^4$ . Chiral operator in 10 dimensions  $\Gamma_{11} = \prod_0^9 \Gamma^{\hat{M}} = I_4 \otimes \sigma^3 \otimes I_4$ ;  $\sigma^{1,2,3}$  are Pauli matrices.

As it was shown in [3] flux term in (1.1) projects out for one of chiral components of  $\hat{\lambda}$  which is easily seen by direct calculation from (1.3), (1.7) (here world gamma-matrices  $\Gamma^\mu = e^{z/L}\Gamma^{\hat{\mu}}, \Gamma^z = \Gamma^{\hat{z}}, \Gamma^\alpha = L^{-1}\Gamma^{\hat{\alpha}}$  are used):

$$\frac{i}{2 \cdot 5!} \Gamma^{M_1 \dots M_5} F_{M_1 \dots M_5} = -\frac{\bar{Q}}{L} \sigma^+ \otimes I_{16}, \tag{1.8}$$

$\sigma^+ = (\sigma^1 + i\sigma^2)/2$  is a projection  $2 \otimes 2$  operator. Thus we shall identify two 16-component chiral spinors  $\lambda_Q$  by the two-values number  $Q = (1, 0)$  ( $Q = \bar{Q} = 1$  for the right-handed chiral spinor [3] and  $Q = 0$  for the left-handed one).

Again following [3] we expand chiral spinor in a set of spherical harmonics of  $S^5$ :

$$\begin{aligned} \lambda_Q(x^\mu, z, y^\alpha) &= \sum_{n \geq 0} \left[ \lambda_{Qn}^+(x^\mu, z) \otimes \chi_n^+(y^\alpha) + \lambda_{\bar{Q}n}^-(x^\mu, z) \otimes \chi_n^-(y^\alpha) \right], \\ \tau^\alpha D_\alpha \chi_n^\pm(y^\alpha) &= \mp \frac{i}{L} \left( n + \frac{5}{2} \right) \chi_n^\pm(y^\alpha). \end{aligned}$$

Summing up these preliminaries the following Dirac equations in 5 dimensions  $(x^\mu, z)$  are received from (1.1) for the 4-component spinors  $\lambda_{Qn}^\pm$ :

$$\begin{aligned} \left[ e^{z/L} \gamma^\mu \partial_\mu + \gamma_5 \partial_z - \frac{2}{L} \gamma_5 + \frac{1}{L} \left( n + \frac{5}{2} + Q \right) \right] \lambda_{Qn}^+(x^\mu, z) &= 0, \\ \left[ e^{z/L} \gamma^\mu \partial_\mu + \gamma_5 \partial_z - \frac{2}{L} \gamma_5 - \frac{1}{L} \left( n + \frac{5}{2} - Q \right) \right] \lambda_{Qn}^-(x^\mu, z) &= 0, \end{aligned} \quad (1.9)$$

$n = 0, 1, 2, \dots$ ,  $Q = 1, 0$  (we remind that  $Q = 1$  for the right-handed 16-component chiral spinor and  $Q = 0$  for the left-handed one). Term  $(2/L)\gamma_5$  appears in (1.9) from the spin-connection in  $D_\mu$  in (1.1) and reflects  $z$ -dependence of the warp factor in metric (1.2).

We'll see that most interesting (because of lesser value of coefficient in round brackets) is the case of second equation in (1.9); thus in what follows Dirac equation for 4-component spinors  $\lambda_{Qn}^-$  will be considered. Further separation of variables:

$$\lambda_{Qn}^-(x^\mu, z) = (\lambda_{QnL}^-, \lambda_{QnR}^-) = (\psi_L(x^\mu) f_L(z), \psi_R(x^\mu) f_R(z)), \quad (1.10)$$

(indices  $Q, n, -$  are omitted in the r.h.s. of (1.10);  $\psi_L, \psi_R$  are the left and right components of Dirac spinor  $\psi(x^\mu) = (\psi_L, \psi_R)$  of mass  $m$  governed by the ordinary Dirac equation in 4 dimensions  $(\gamma^\mu \partial_\mu - m)\psi = 0$ ) reduces equation (1.9) for  $\lambda_{Qn}^-$  to the following system for profiles  $f_{L,R}(z)$  (for every  $Q, n$ ):

$$\begin{aligned} \left[ \frac{d}{dz} - \frac{2}{L} - \frac{1}{L} (\nu + 1/2) \right] f_L + m e^{z/L} f_R &= 0, \\ \left[ \frac{d}{dz} - \frac{2}{L} + \frac{1}{L} (\nu + 1/2) \right] f_R - m e^{z/L} f_L &= 0, \end{aligned} \quad (1.11)$$

parameter  $\nu = n + 2 - Q$  essentially determines the looked for spectra of  $m$ ; for  $Q = 1$  (i.e. for Fermions which “feel” the flux)  $\nu = 1, 2, \dots$ , and in case  $Q = 0$  we have  $\nu = 2, 3, \dots$

Equations (1.11) are typical in the Randall-Sundrum type models when bulk Dirac mass term is included in the Fermi field Lagrangian [6–9]. However, contrary to these papers where value of bulk Dirac mass which determines the physically important parameter  $\nu$  in (1.11) was taken “by hand”, here we rely upon well grounded supergravity approach which gives definite values of  $\nu$ .

## 2 Boundary conditions, two towers of spectra of Fermi excitations and “seesaw” scale without seesaw mechanism

Solution of system (1.11) is a linear combination of Bessel and Neumann functions [7]–[9]:

$$\begin{aligned} f_L(z) &= e^{5z/2L} [AJ_\nu(\tau) + BN_\nu(\tau)], \\ f_R(z) &= e^{5z/2L} [AJ_{\nu+1}(\tau) + BN_{\nu+1}(\tau)], \end{aligned} \quad (2.1)$$

where  $\tau = mL e^{z/L}$ ; the slice of the  $AdS_5 \times S^5$  space-time is given by the interval  $\tau$  (see (1.5)):

$$\tau_{UV} = mL < \tau < mL e^{\pi R} = \tau_{IR}, \quad (2.2)$$

$A, B$  in (2.1) are constants determined from the boundary and normalization conditions. Boundary conditions give also the spectra of  $m$ .

At the reflection of coordinate  $z, P_z$ , D10 spinor  $\hat{\lambda}$  transforms as (see e.g. [10]):

$$P_z \hat{\lambda}(z) = \Gamma^{\hat{z}} \hat{\lambda}(-z).$$

According to (1.7)  $\Gamma^{\hat{z}} = \gamma_5 \otimes \sigma^1 \otimes I_4$ , hence  $z$ -reflection interchanges right-handed and left-handed chiral components of D10 spinor. It would be mistake however to think that this reflection interchanges chiral component interacting with flux (the right-handed one according to (1.8)) and the non-interacting one. The point is that electric and magnetic parts of the 5-form behave opposite under  $z$ -reflection: electric flux in (1.3) is odd under reflection whereas magnetic one is even. Because of it reflection changes  $\sigma^+$  to  $-\sigma^-$  in (1.8) ( $\sigma^- = (\sigma^1 - i\sigma^2)/2$ ), and it is the left-handed 16-component chiral spinor which “feels” flux on the  $Z_2$ -symmetric pasted half of  $AdS_5 \times S^5$  space-time (1.2).

Thus  $Z_2$ -symmetry adjustment at the orbifold points  $z = z_*$  ( $z_* = 0, \pi R$ ) of the right-handed (left-handed) chiral component of D10 spinor  $\hat{\lambda}$  “living” on one of pasted copies of slice of  $AdS_5 \times S^5$  space-time with the left-handed (right-handed) component on the other pasted copy gives the ordinary in the RS-type models boundary conditions for the left and right profiles (2.1):

$$f_R(z_*) = \eta f_R(z_*), \quad f_L(z_*) = -\eta f_L(z_*), \quad \eta = \pm 1. \quad (2.3)$$

We take for definiteness  $\eta = 1$  at the UV end and following [7, 8, 11] consider two types of boundary conditions: the usual “untwisted” one (when  $\eta = 1$  also at the IR end) and “twisted” one ( $\eta = 1$  at the UV end,  $\eta = -1$  at the IR end). These conditions determine two essentially different towers of the eigenvalues of Dirac equation (1.1). The “twisted” boundary condition corresponds to breaking supersymmetry by the Scherk-Schwarz mechanism [1, 12] and its application for receiving small gravitino mass in the warped models was first proposed, as to our knowledge, in [8]. Thus let us consider:

**“Untwisted” boundary conditions.**  $f_R(z_*) = f_R(z_*), f_L(z_*) = -f_L(z_*)$  at both UV and IR boundaries. This means  $f_L(0) = f_L(\pi R) = 0$  which according to (2.1) gives the spectral condition:

$$\frac{J_\nu(\tau_{UV})}{N_\nu(\tau_{UV})} = \frac{J_\nu(\tau_{IR})}{N_\nu(\tau_{IR})}, \quad (2.4)$$

$\tau_{UV}, \tau_{IR}$  are given in (2.2). For  $mL \ll 1, R/L \gg 1$  (i.e. when  $\tau_{UV} \ll 1$  and  $\tau_{IR}$  is of order 1) solution of (2.4) is given by simple formula [7]:

$$m_{q,n}^{untw} \simeq \left( q + \frac{\nu}{2} - \frac{3}{4} \right) \frac{\pi}{L} e^{-\pi R/L} = \left( q + \frac{n}{2} + \frac{1}{4} - \frac{Q}{2} \right) \sqrt{\frac{2}{\pi}} M_{Pl} \left( \frac{l_s}{L} \right)^4 e^{-\pi R/L} \cong M_{EW}, \quad (2.5)$$

where  $q = 1, 2, 3 \dots, n = 0, 1, 2 \dots$ , formula (1.6) was used to express  $L^{-1}$  through  $M_{Pl}$ .

Physically mass scale in the r.h.s. of (2.5) must be of order of the electro-weak scale  $M_{EW}$ ; its relation to the Planck scale (“first mass hierarchy”) is basically given by the small

Randall-Sundrum exponent  $e^{-\pi R/L}$  in (2.5), although in the model under consideration it also depends on relation of fundamental string length  $l_s$  to the scale  $L$  of the Type IIB supergravity solution (1.2).

Since  $\nu = n + 2 - Q > 0$  the profiles of eigenfunctions (2.1) of massive modes are essentially concentrated in vicinity of the IR end of slice (1.5) of  $AdS_5 \times S^5$  space-time. The “untwisted” boundary conditions permit also zero-mode ( $m = 0$ ) solutions of system (1.11):  $f_L \equiv 0$ ,  $f_R \sim \exp[(-\nu + 3/2)z/L]$ .

**“Twisted” boundary conditions.**  $f_L(z_{UV}) = -f_L(z_{UV})$ ,  $f_R(z_{IR}) = -f_R(z_{IR})$ , i.e.  $f_L(0) = f_R(\pi R) = 0$ . In this case spectral condition for solutions (2.1) looks as [8]:

$$\frac{J_\nu(\tau_{UV})}{N_\nu(\tau_{UV})} = \frac{J_{\nu+1}(\tau_{IR})}{N_{\nu+1}(\tau_{IR})}, \tag{2.6}$$

There are no zero modes in this case. Spectral equation (2.6) possesses the “first hierarchy” massive modes with eigenvalues of type (2.5), but it also gives the “inverse tower” of extremely small values of  $m_{Q,n}^{tw}$  exponentially decreasing with growth of the 5-sphere spectral number  $n$ :

$$m_{Q,n}^{tw} = \frac{2\sqrt{n+2-Q}}{L} e^{-(n+3-Q)\pi R/L}, \tag{2.7}$$

which is received from (2.2), (2.6) with account that in this case both arguments in (2.6) are much less than one ( $\tau_{UV} = mL \ll 1$  and  $\tau_{IR} = mL e^{\pi R/L} \ll 1$ ). We also inserted  $\nu = n + 2 - Q$  in (2.7).

The highest value of  $m_{Q,n}^{tw}$  is achieved when Fermion interacts with flux, i.e. at  $Q = 1$ ,  $n = 0$  in (2.7):

$$m_{1,0}^{tw} = \frac{2}{L} e^{-2\pi R/L} = \left(\frac{L}{l_s}\right)^4 \frac{M_{EW}^2}{M_{Pl}^2}. \tag{2.8}$$

In deriving the r.h.s. of (2.8) we expressed  $L^{-1}$  and  $e^{-\pi R/L}$  through  $M_{Pl}$  and  $M_{EW}$  from (1.6), (2.5) and omitted coefficient of order one. For the choice  $M_{EW} = 1 TeV$ ,  $(L/l_s)^4 = 10^3$  (2.8) gives the mass scale of order of mass of electron neutrino.

Theory surely must be more elaborated. The goal of the paper is to demonstrate interesting potential possibilities of the supergravity models, and to demonstrate the importance of presence of Pauli type terms in the bulk Dirac equations. In fact, let us calculate the first spectral value of tower (2.7) when there is no flux, i.e. for  $Q = 0$ ,  $n = 0$  (or this is the second spectral value of (2.7) in the presence of flux, i.e. for  $Q = 1$ ,  $n = 1$ ):

$$m_{0,0}^{tw} = m_{1,1}^{tw} = \frac{\sqrt{8}}{L} e^{-3\pi R/L} = \left(\frac{L}{l_s}\right)^8 \frac{M_{EW}^3}{M_{Pl}^2}. \tag{2.9}$$

In the absence of the 5-form term in (1.1) this would be the highest value of spectrum (2.7) and physically promising “seesaw” combination  $M_{EW}^2/M_{Pl}$  like in the r.h.s. of (2.8) would not appear in the spectrum of Dirac equation. It must be noted that mass scale (2.8)  $M_{EW}^2/M_{Pl}$  is received here without any reference to large right neutrino mass and standard seesaw mechanism (cf. [6]).

### 3 Profiles of wave functions and light right neutrino as a candidate for Dark Matter

Let us look at the profiles of eigenfunctions (2.1) of “twisted” modes. With use of boundary conditions  $f_L(0) = f_R(\pi R) = 0$ , inserting expression for  $m$  given in (2.7), and taking into account that in this case argument of cylinder functions in (2.1) is small ( $\tau \ll 1$ ) in all region (2.2), it is easy to receive the simple approximate expressions for “twisted” eigenfunctions (2.1):

$$\begin{aligned} f_L^{tw}(z) &= N_\nu e^{5z/2L} \sinh\left(\frac{\nu z}{L}\right), \\ f_R^{tw}(z) &= -N_\nu \sqrt{\nu} e^{5z/2L} \sinh\left[(\nu + 1)\frac{(\pi R - z)}{L}\right], \end{aligned} \tag{3.1}$$

where  $N_\nu$  is the normalization factor,  $\nu = n + 2 - Q$ .

From (3.1) it is immediately seen that “twisted” profile  $f_L^{tw}(z)$  of the left component of 4-spinor  $\psi(x^\mu)$ , see (1.10), is concentrated near the IR end of the warped space-time (1.2), whereas profile  $f_R^{tw}(z)$  of the right component is located near the UV end. This must result in essential difference in interactions of the left and right chiral components (1.10) with massive modes of other fields which profiles in extra space are concentrated near IR end of the higher-dimensional space-time.

In the extra-dimensional theories the strength of interaction of modes of different fields depends on overlapping of their wave functions in extra space. That is why universality of electric charge is achieved in these theories only if zero-mode of electro-magnetic field is constant in extra space. The same is true of course for the interaction of matter with gravitational field, the constancy of its zero-mode was supposed in deduction of expression (1.6) for Planck mass in 4 dimensions.

If “twisted” 4D Dirac Fermions considered above are neutral, then their left chiral components (“LH neutrino”) will be observed since their profiles (3.1) in extra space overlap with profiles of modes of other fields (trapped on the IR brane or “living” in the bulk in vicinity of its IR end). Whereas right chiral components (“RH neutrino”) will not be observed in experiments because of the exponential suppression of the overlapping in extra space of their wave functions (3.1) with wave functions of the ordinary matter modes.

Thus in this approach left and right chiral components of the same Dirac Fermion behave in essentially different way as regards to observations. And there is no need to suppose the extra large mass of one of components as an explanation of its non-observability in experiments. The problems of the presented approach are discussed below.

### 4 Discussion

The evident drawback of the approach of the paper is the lack of correspondence with Standard Model where right and left neutrino are of different group nature. Thus interpretation of left and right chiral components of Dirac Fermion (1.10) as corresponding neutrinos is questionable. The demonstrative toy model considered in the paper surely must be more



elaborated. It would be interesting to study the possibility to receive the “seesaw” scale of Majorana mass in frames of the approach described above.

Also infinite tower (2.7) of Fermions which mass is exponentially decreasing with growth of the 5-sphere spectral number  $n$  may come in confrontation with observations.

The real challenge is to receive the limited number (three) generations and observed spectra of masses of quarks and leptons from the spectrum of Fermi fields’ equations in high dimensions. The role of the extra-dimensional Pauli-type terms of these equations may prove to be important in reaching this goal; background fluxes “living” in extra dimensions may substitute conventional vacuum condensates of Higgs scalars. Background flux may also substitute Higgs scalar in providing mass gap in the spectrum of Kaluza-Klein gauge field associated with isometries of extra subspace where flux “lives” [13]. All these expectations need further exploration. But in any case it is evident that “mass generating” tool of supergravity fluxes is less ambiguous than the tool of Higgs scalars introduced “by hand”.

The preliminary results of this paper surely may be generalized to the consideration of influence of fluxes on spectra of Fermions e.g. in the model of Klebanov-Strassler throat [14]. Or perhaps the fluxbrane throat-like solutions in the Type IIA supergravity will prove to be even more promising for this direction of thought. In particular it would be interesting to explore if the naturally appearing in these models 2-form background flux quickly decreasing upward from the IR end of the throat (cf. [13, 15]) may provide the intermediate mass scales of the Standard Model generations in spectra of neutral and charged Fermions calculated on this background.

The expected breakthrough in modern physics is supposedly connected with the dual holography business - with its tools of calculating spectra, coupling constants etc. of bound states in QCD in its strong coupling limit from solutions of classical higher dimensional supergravity equations. It would be quite interesting to explore the possible role of extra-dimensional fluxes in this field of research.

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